

1
2
3
4
5
6
7
8 UNITED STATES DISTRICT COURT
9 SOUTHERN DISTRICT OF CALIFORNIA
10

11 JOEL FAJARDO-MEZA,
12 Defendant-Petitioner,
13 v.
14
15 UNITED STATES OF AMERICA,
16
17 Respondent.

CASE NO. 09-CR-2111 W
11-CV-0373 W

**ORDER GRANTING MOTION
FOR LEAVE TO PROCEED IFP
[DOC. 73] AND DENYING
MOTION FOR CERTIFICATE OF
APPEALABILITY [DOC. 72]**

18 On February 23, 2011, Petitioner Joel Fajardo-Meza, a federal prisoner
19 proceeding pro se, filed a Motion Under 28 U.S.C. § 2255 to Vacate, Set Aside, or
20 Correct Sentence (the "Petition"). Respondent United States of America opposed, and
21 on February 15, 2012, this Court denied the Petition.

22 Petitioner now requests that the Court issue a certificate of appealability
23 ("COA"). Petitioner also seeks leave to proceed in forma pauperis ("IFP") on appeal.
24 For the reasons stated below, the Court **GRANTS** Petitioner's request to proceed IFP
25 on appeal (Doc. 73), and **DENIES** Petitioner's request for a COA (Doc. 72).

26 //

27 //

28 //

1 **I. BACKGROUND**

2 On August 23, 2009, Petitioner agreed to plead guilty to Count 1 of the
3 Indictment, conspiracy to distribute heroin in violation of 21 U.S.C. §§ 841(a) and 846.
4 (See *Plea Agreement* [Doc. 26], ¶ I.A.) Under the terms of the Plea Agreement,
5 Petitioner agreed to waive his right to appeal or collaterally attack his sentence, “unless
6 the Court impose[d] a custodial sentence greater than the high end of the guideline
7 range (or statutory mandatory minimum term, if applicable) recommended by the
8 Government pursuant to this agreement at the time of sentencing.” (*Id.* ¶ XI.)

9 On October 13, 2009, Petitioner pled guilty. (See *10/13/09 Minute Entry* [Doc.
10 25].) On April 20, 2010, this Court sentenced Petitioner to 90 months’ imprisonment
11 followed by five years of supervised release. (See *4/20/10 Minute Entry* [Doc. 41].)

12 On February 23, 2011, Petitioner filed the Petition. Respondent opposed
13 arguing, among other things, that Petitioner waived his right to collaterally attack his
14 sentence.

15 On August 24, 2011, Petitioner filed a motion for extension of time to respond
16 to Respondent’s opposition. (See *Mt. for Extension of Time* [Doc. 67].) However, on
17 November 1, 2011, Petitioner filed a notice stating that he did not intend to respond
18 to Respondent’s opposition, and urging the Court to issue a ruling on his Petition. (See
19 *Notice* [Doc. 69], at 1.) On February 15, 2012, this Court issued an order denying the
20 Petition. (See *2/15/12 Order* [Doc. 70].)

21
22 **II. IFP MOTION**

23 A party seeking to proceed IFP on appeal must file a motion in the district court.
24 See Fed R. App. P. 24(a)(1). The determination of whether a plaintiff is indigent, and
25 thus unable to pay the filing fee falls within the district court’s discretion. California
26 Men’s Colony v. Rowland, 939 F.2d 854, 858 (9th Cir. 1991), *reversed on other grounds*,
27 506 U.S. 194 (1993) (“Section 1915 typically requires the reviewing court to exercise
28 its sound discretion in determining whether the affiant has satisfied the statute’s

1 requirement of indigency.”). It is well-settled that a party need not be completely
2 destitute to proceed IFP. Adkins v. E.I. DuPont de Nemours & Co., 335 U.S. 331,
3 339-40 (1948). To satisfy the requirements of IFP status, “an affidavit [of poverty] is
4 sufficient which states that one cannot because of his poverty pay or give security for
5 costs . . . and still be able to provide himself and dependents with the necessities of life.”
6 Id. at 339. At the same time, however, “the same even-handed care must be employed
7 to assure that federal funds are not squandered to underwrite, at public expense, . . . the
8 remonstrances of a suitor who is financially able, in whole or in material part, to pull his
9 own oar.” Temple v. Ellerthorpe, 586 F.Supp. 848, 850 (D.R.I. 1984).

10 Having read and considered the papers submitted, the Court finds that Petitioner
11 has demonstrated that he is unable to pay the fees or post securities required to pursue
12 his appeal. According to his declaration, Petitioner receives approximately \$100 a
13 month in support from family. (*Attached Supporting Dec.* [Doc. 73], ¶ 2.) He receives
14 no other income. For these reasons, the Court **GRANTS** Petitioner’s motion to
15 proceed IFP on appeal.¹ (Doc. 73.)

17 **III. REQUEST FOR COA**

18 **A. Standard**

19 Under the Antiterrorism and Effective Death Penalty Act of 1996, Pub. L. No.
20 104-132, 110 Stat. 1214 (1996) (“AEDPA”), a federal prisoner may not appeal the
21 denial of a section 2255 habeas petition unless he obtains a COA from a district or
22 circuit judge. 28 U.S.C. § 2255 (c)(1)(A); see also United States v. Asrar, 116 F.3d
23 1268, 1269-70 (9th Cir. 1997) (holding that district courts retain authority to issue
24 certificates of appealability under the AEDPA). In deciding whether to grant a COA,
25 a court must either indicate the specific issues supporting a certificate or state reasons
26 why a certificate is not warranted. Asrar, 116 F.3d at 1270. A court may issue a COA

28 ¹This finding is consistent with the docket, which indicates that the fee for the notice
of appeal has been waived. (*See Doc. Entry 71.*)

1 only if the applicant has made a “substantial showing” of the denial of a constitutional
2 right. 28 U.S.C. § 2253(c)(2). To meet this standard, Petitioner must show that:
3 (1) the issues are debatable among jurists of reason; (2) a court could resolve the issues
4 in a different manner; or (3) the questions are adequate to deserve encouragement to
5 proceed further. Lambright v. Stewart, 220 F.3d 1022, 1024-25 (9th Cir. 2000) (citing
6 Slack v. McDaniel, 529 U.S. 473 (2000)); Barefoot v. Estelle, 463 U.S. 880 (1983).
7 Petitioner does not have to show “that he should prevail on the merits. He has already
8 failed in that endeavor.” Lambright, 220 F.3d at 1025 (citation omitted).

9
10 **B. Analysis**

11 Here, the issue before the Court was whether Petitioner waived his right to
12 collaterally attack his sentence, and whether the waiver was valid.

13 Courts have repeatedly upheld the validity of appeal waivers finding that “public
14 policy strongly supports plea agreements.” United States v. Navarro-Botello, 912 F.2d
15 318, 321 (9th Cir. 1990); see also Brady v. United States, 397 U.S. 742, 752 n. 10
16 (1970); United States v. Wiggins, 905 F.2d 51, 53 (4th Cir. 1990). Courts will enforce
17 a Petitioner’s appeal waiver if (1) the waiver is knowingly and voluntarily made; and
18 (2) the waiver, by its terms, waives the right to appeal. United States v. Nunez, 223
19 F.3d 956, 958 (9th Cir. 2000).

20 First, a valid waiver requires that the Petitioner agreed to its terms knowingly and
21 voluntarily. See id. A reviewing court looks to the circumstances that surround the
22 plea agreement’s signing and entry to determine whether a defendant agreed to its
23 terms knowingly and voluntarily. See United States v. Baramdyka, 95 F.3d 840, 843
24 (9th Cir. 2000).

25 In the present case, Petitioner entered into the Plea Agreement with his
26 attorney’s advice and consent (*Plea Agree.* p.1:17–20), and Petitioner represented that
27 he fully understood the agreement (*Id.* ¶ XV). Petitioner also represented that his plea
28 was knowing and voluntary. (*Id.* ¶ VI.) And Petitioner represented that he was

1 satisfied with his attorney's performance. (*Id.* ¶ XVI.) Additionally, during the plea
2 colloquy, Petitioner confirmed while under oath that the Plea Agreement was explained
3 to him in Spanish, that he understood everything, that he had sufficient time to consult
4 with his counsel, and that neither he nor anyone near or dear to him had been
5 threatened in order to get him to enter the plea. (*Reporter's Transcript of Proceedings*,
6 10/13/09 [Doc. 58], 3:20–5:5.) Based on these facts, this Court found that Petitioner
7 knowingly and voluntarily agreed to the terms of the Plea Agreement, including the
8 waiver provision.

9 Second, a valid waiver must also explicitly state that Petitioner is waiving his
10 right to appeal. *See Nunez*, 223 F.3d at 958. A reviewing court applies contract
11 principles, including the parol evidence rule. *See United States v. Ajugwo*, 82 F.3d 925,
12 928 (9th Cir. 1996). Under the parol evidence rule, a court enforces the contract's
13 plain language and does not look to "extrinsic evidence. . . to interpret. . . the terms of
14 an unambiguous written instrument." *Wilson v. Arlington Co. v. Prudential Ins. Co.*
15 *Of Am.*, 912 F.2d 366, 370 (9th Cir. 1990).

16 Here, the Plea Agreement explicitly states, in relevant part:

17 In exchange for the Government's concessions in this plea agreement,
18 defendant waives, to the full extent of the law, any right to appeal or to
19 collaterally attack the conviction and sentence, including any restitution
20 order, unless the Court imposes a custodial sentence greater than the high
21 end of the guideline range (or statutory mandatory minimum term, if
22 applicable) recommended by the Government pursuant to this agreement
23 at the time of sentencing. If the custodial sentence is greater than the
24 high end of that range, the defendant may appeal, but the Government
will be free to support on appeal the sentence actually imposed. If
defendant believes the Government's recommendation is not in accord
with this agreement, defendant will object at the time of sentencing;
otherwise the objection will be deemed waived.

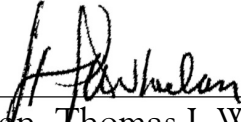
25 (*Plea Agree.* ¶ XI.) At the sentencing hearing, the Court found that the 120-month
26 mandatory minimum applied to Petitioner's case. Because Petitioner's sentence is
27 consistent with the terms of the Plea Agreement, this Court also found that Petitioner's
28 collateral attack is barred, and Petitioner was not entitled to the relief sought.

1 **IV. CONCLUSION & ORDER**

2 For the reasons outlined above, the Court finds that the issues presented in the
3 Petition were neither debatable among jurists of reason, nor would another court
4 resolve the issues in a different manner. Accordingly, Petitioner's request for a COA
5 (Doc. 72) is **DENIED**. Petitioner's request to proceed IFP on appeal is **GRANTED**
6 (Doc. 73).

7
8
9 **IT IS SO ORDERED.**

10
11 DATED: April 10, 2012

12
13 
14 _____
15 Hon. Thomas J. Whelan
16 United States District Judge
17
18
19
20
21
22
23
24
25
26
27
28